

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
*April 28, 2017 – 1:00 PM*  
*Roberts Room*  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from March 31, 2017 and April 13, 2017
3. Audit and Compliance Committee Meeting dates for Calendar Year 2017. The following proposed meeting dates are being presented for Committee approval. The Committee meets in the Roberts Room. This schedule accommodates entrance and exit conferences for the FY17 External Financial Statements Audit.

August 3, 2017 - Start at 9:00 AM

October 20, 2017 - Start at 9:00 AM (Exit Conference for FY17 External Audit)

**INFORMATION ITEMS**

4. Advisors' Comments
5. Fiscal Year 2017 Financial Statements External Audit, Entrance Conference (*Timothy Keller, State Auditor, Moss Adams, KPMG, and Elizabeth Metzger, University Controller*)
6. Main Campus Chief Compliance Officer Status Report (*Libby Washburn, UNM Main Campus Chief Compliance Officer and Francie Cordova, Director, Office of Equal Opportunity*)
7. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)
8. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)  
Implemented  
Pending

**EXECUTIVE SESSION**

9. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2;
  - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
  - c. Schedule of Audits in Process and FY17 Audit Work plan, pursuant to RPM 1.2;
  - d. Vote to re-open the meeting.
10. Certification that only those matters described in Agenda item #9 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
11. Adjournment